

Interdisciplinary environmental social science leadership team Je-S guidance for applicants

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Call summary

Opportunity Summary

Apply for funding to establish a team of social scientists and interdisciplinary experts. Your team will provide leadership for climate and environmental social science research.

To lead the team you must be based at a UK organisation eligible for UKRI funding.

This grant must:

- champion, coordinate and facilitate collaboration within and beyond the social sciences
- scope future ESRC and UKRI funding opportunities
- engage with stakeholders including policy, business, and civil society
- contribute to the evidence base needed for the UK to achieve net zero carbon emissions.

One five-year grant will be funded up to £6,250,000. Funding is based on the full economic costs of the research with ESRC providing 80% of the cost. The grant must start 1 February 2022.

Closing date:

16:00 on 7 September 2021

Funding available:

£6,250,000 at 100% fEC

How to apply:

Proposals are invited via Je-S attracting the standard 80% fEC funding model

Assessment process:

This call will involve a panel assessment with a panel interview for shortlisted applicants

Key commissioning dates:

- Deadline for proposals – 16:00 on 7 September 2021
- Panel Interview – early December 2021
- Funding decision – approximately late December 2021
- Grant start date – 1 February 2022

Contacts:

- Pete Henly
- Jonathan Reynolds
Email: environmentandenergy@esrc.ukri.org

Please read the full call text on the funding finder for guidance before submitting your proposal.

Introduction

This is a guidance document created to assist applicants to this call in the completion of their proposal. It is specific to this call and should be used in conjunction with the following information:

- [ESRC Research Funding Guide](#)
- ESRC guidance on [‘How to write a good research grant proposal’](#)
- **Je-S help text** is available in every page of your Je-S form, simply click on the question mark against any section (or on the word ‘Help’ in the top right hand corner of each page)

Je-S helpdesk (for all Je-S system enquiries) jeshelp@je-s.ukri.org

If you experience difficulties using Je-S or have questions regarding its use, helpdesk staff are currently working remotely via email. If phone assistance is required a call back can be provided. Telephone hours are operating normally; Monday to Thursday 8.30am - 5.00pm, Fridays 8.30am - 4.30 pm on 01793 444164 (excluding bank holidays and other holidays).

When reporting problems by email or telephone, please give your name, organisation and user id, the date and time, what part of the form or system you were working on, and the nature of the problem.

- [Call text on Funding Finder](#)

For further information contact:

- Pete Henly and Jonathan Reynolds
Email: environmentandenergy@esrc.ukri.org

Important: Where information and guidance issued in this document differs from the general guidance offered in these sources; you should adhere to the guidance in this document.

Please note that on the Joint Electronic Submissions (Je-S) system, the grant will be referred to as ‘Climate and Environment Leadership Grant 2021’. Elsewhere, the grant will be referred to as ‘Interdisciplinary environmental social science leadership team’.

Joint Electronic Submissions (Je-S)

All proposals under this call must be completed and submitted through UKRI’s Joint Electronic Submissions (Je-S) system. To be able to do this the organisation must be registered for Je-S, and the applicants must hold Je-S accounts.

- UK higher education institutions and some other independent UK research organisations are already **recognised institutions** on Je-S (including any recognised Independent Research Organisation*). A list of these organisations is available via <https://www.ukri.org/funding/how-to-apply/eligibility/>.

Please note that applicants based in UK NHS Trusts, Hospitals, Boards or GP Practices that are considering applying as the lead applicant to this call should contact the Je-S Helpdesk (jeshelp@je-s.ukri.org or 01793 444164) to ensure that their organisation is correctly registered on the Je-S system. If the organisation is not correctly Je-S registered, an email request for Je-S registration will be required from the organisation. **Please allow at least six weeks for the Je-S registration process, prior to the closing date of the call. Additionally, please ensure that all personal Je-S accounts associated with individuals expected to be named on the proposal have been requested at least seven working days before the proposal will be submitted.*

Je-S accounts for applicants

All principal and co-investigators must have a Je-S account which has been verified by a third party before they can be found in searches within the Je-S System and added as an applicant. Je-S accounts should be created as soon as possible once the call opens to allow sufficient time for the account(s) to be verified. To get you started on creating an account please refer to the [Je-S helptext](#).

Please ensure that applicants select the 'Account Type: Research Proposal' and the option 'An Applicant on a Standard or Outline Proposal' - see [helptext](#).

Before creating your proposal

Research proposals may **only** be made on research councils' Joint Electronic Submission (Je-S) forms.

Please note that **the deadline for research organisation submission of proposals is 16:00 on 7 September 2021**. You should **allow sufficient time for completion of the research organisation submission process checks/authorisation. There is a collective responsibility on both applicant and research organisation to ensure submission meets this deadline and therefore internal organisation submission issues is not considered an appropriate justification reason to a extend deadline.** You can view all Je-S registered organisations via page <https://www.ukri.org/funding/how-to-apply/eligibility/>, to ascertain whether the proposed submitting organisation is registered (this does not include HEI's).

Organisation internal submission structure

Your proposal will be sent to Je-S/ESRC from the submitter pool at your research organisation. There is a **further layer of administration between your submission of the proposal and the proposal being submitted to the ESRC, via Je-S**. The research organisation's submission route usually includes both an approver (ie head of department) and submitter pool (ie central finance office), and the ESRC cannot accept responsibility for any delay which may occur.

It is recommended that applicants forward their proposal to the organisation submitter pool in good time (ie at least a week before the call deadline) to allow a sufficient period for the approval and final submission process. This does not apply for self-registered organisations. The proposal must be submitted through the Je-S System to ESRC by the institution's nominated contacts. Once the applicant completes and submits the proposal, notification is then sent to their organisation's 'submitter' to action. The 'submitter' is the person in that organisation authorised to approve the proposal and do the final stage of submission.

The applicant will receive an email confirming that the proposal has been submitted to the Submitter Pool - this means the proposal is still with the organisation but is **not** yet submitted to the research council. The final submission process is the responsibility of the host institution, and we cannot accept responsibility for any delay which may occur at this stage. We strongly advise applicants to check that they receive an email confirmation from the Je-S System confirming that the proposal has been submitted to the research council.

We advise applicants to secure confirmation from their relevant administrator that the proposal has been successfully submitted to the Je-S team (see also 'Tracking your proposal').

Use of your personal information – UK Research and Innovation capture and process personal information in line with current data protection legislation; General Data Protection Regulation (GDPR) and any amendments by the UK Data Protection Bill and/or relevant acts of parliament.

COVID-19 Guidance for Applicants

- **Accounting for the unknown impacts of COVID-19**

UKRI acknowledges that it is a challenge for applicants to determine the future impacts of COVID-19 while the pandemic continues to evolve. Applications should be based on the information available at the point of submission and, if applicable, the known application specific impacts of COVID-19 should be accounted for. Where known impacts have occurred, these should be highlighted in the application, including the assumptions/information at the point of submission. There is no need to include contingency plans for the potential impacts of COVID-19. Requests for travel both domestically and internationally can be included in accordance to the relevant scheme guidelines, noting the above advice.

(Reviewers will receive instructions to assume that changes that arise from the COVID-19 pandemic, post-submission, will be resolved and complications related to COVID-19 should not affect their scores. Where an application is successful, any changes in circumstances that affect the proposal will be managed as a post-award issue.)

Creating your proposal

Log in to [Je-S](#).

From the Home Screen select Documents.

Add new document screen: Select 'Call search' (highlighted at top of screen). When prompted, type in the call title (*Climate and Environment Leadership Grant 2021*) and select from the list created. The remaining three selection fields will be automatically populated.

Select the 'Create Document' button.

It is the **applicant's responsibility to ensure that the proposal is created and submitted against the correct call** (and consequently correct scheme). We will **not accept** proposals for processing that are not submitted using the above call route.

Completing your proposal

The initial Je-S document instructions page will give you general guidance on the navigation layout, specifically icon descriptions.

The left hand column 'Document Menu' lists all the sections associated with this call and clearly identifies which ones are mandatory (red cross, turning to green tick when completed).

The details below are not an exhaustive step-by-step guidance, and we recommended that you refer to the Je-S helptext for additional information.

Please note you may return to edit saved documents at any time.

Project details

- Select organisation and department from drop down lists
- ‘Your Reference’ should be supplied by your research organisation (consult your Research Office). If your administration office does not have a system for referencing grant proposals, a suitable reference of your own choice will be acceptable. Use ‘Your reference’ to help distinguish easily between proposals in users’ Current Documents lists. The reference is intended to be a unique identifier for the proposal, and is unrelated to the reference that the organisation would be asked to provide if a grant were awarded.
- Enter ‘Project Title’ (maximum limit of 150 characters)
- The ‘Proposal Call’ will already be populated with the relevant call title; *Climate and Environment Leadership Grant 2021*.
- Your start date must indicate that the grant will start on the 1 February 2022. Your grant should last for a fixed-term of 60 months (5 years).
- Submission route – It is recommended that once this initial section is completed, check the submission path (via Document Actions tab) to see if the proposal has to be signed off by submitters and approvers, in which case please make sure they will be available to process the document on the day you intend to submit it to Council.

Investigators

Enter the name of the principal investigator (PI) and any co-investigators (Co-Is) if applicable.

Investigators may be from more than one research organisation, but the PI must be from the organisation that will administer the grant. The PI will take intellectual leadership of the project and manage the programme of activity; this individual will be the contact person for ESRC correspondence. All named investigators are responsible for ensuring that successful proposals are undertaken and completed in the manner specified.

Post will outlast project

Select Yes or No. Please note, there is an expectation that the PI’s post will be in place for the duration of a funded project. This means if this question is answered with “No” then a pop-up message will display, confirming that if the proposal is successful it is the RO responsibility to ensure the PI’s post will be extended to match the project end date. By submitting the proposal, the RO is taking collective responsibility to comply with this request.

[UK co-investigators in business, civil society or government bodies](#)

Please note that any employee from an established business, civil society or government body based in the UK will be eligible to be listed as a **co-investigator** under the *interdisciplinary environmental social science leadership team*.

The ESRC will fund 100% of justified costs, however, the project costs associated with these types of Co-I contributions must not exceed 30% of the overall cost of the grant (at 100% fEC). Please note that costs cannot be claimed from government bodies.

Proposals which include co-investigators from the UK business sector or from third sector organisations that engage in economic activity¹ must ensure that the involvement of these organisations complies with State Aid legislation. Proposals which include co-investigators from third sector organisations that are deemed **not** to engage in economic activity must provide evidence of this status in the Justification of Resources section of the proposal form.

On receipt of the proposal, eligibility of business, civil society or government body will not normally need to be checked if it is reasonably clear that they are appropriate to conduct the work. Where there is doubt, checks will be carried out should a positive funding decision be taken.

It is recommended that potential UK co-investigators from business or civil society should create Je-S accounts as soon as possible once the call opens to allow sufficient time for the account(s) to be verified.

All project costs relating to UK business or civil society co-investigators must be prefixed as 'UK Co-I's business or civil society costs' and must be entered into the costs section on Je-S as follows:

Co-investigator 'time' allocation and salary costs must be entered under the standard 'Co-Investigator' section. (Please tick the 'Exception' box to ensure 100% costs claimed).

Other staff related costs whether, fieldwork, equipment or travel and subsistence should be entered in the 'Other DI' Section as exceptions and marked as Co-I costs.

All costs must be specifically justified, and applicants must explain why such costs are required to achieve the aims of the research project. Applicants must also state clearly in the 'Justification for Resources' attachment of the Je-S form which costs in the proposal relate to the UK co-investigator's business, civil society or government body. Please note that UK co-investigator's business or civil society salary costs should only be claimed where clear justification is provided as to why this cost cannot be met by the UK co-investigator's business or civil society organisation.

Host/submitting institutions are reminded that for calls that encourage non-academic Co-I's, a suitable support structure should be in place to assist such individuals in registering for Je-S accounts and contributing to the activities in the application.

International co-investigators

Please note that any academic researcher (PhD or equivalent) from an established international research organisation of comparable standing to an ESRC-eligible UK research organisation will be eligible to be listed as an international co-investigator under this scheme. Please note that international costs must not exceed 30% of the full 100% fEC cost of the grant. However, the total of these costs and the costs for UK co-investigators in business, civil society or government bodies must not exceed 30% of the full 100% fEC cost of the grant.

¹ 1 "Economic activity' means putting goods or services on a market. It is not necessary to make a profit to be engaged in economic activity: if others in the market offer the same good or service, it is an economic activity." Department for Business Innovation & Skills, State Aid: The Basics Guide, July 2014 - https://assets.publishing.service.gov.uk/government/uploads/system/uploads/attachment_data/file/443686/BIS-15-417-state-aidthe-basics-guide.pdf

It is recommended that potential overseas-based co-investigators should create personal Je-S accounts as soon as possible once the call opens to allow sufficient time for the account(s) to be verified

International co-investigator salary costs should be entered under the 'Co-Investigator' section and should be marked as an 'Exception' using the tick box. Other staff related costs whether, fieldwork, equipment or travel and subsistence should be entered in the 'Other DI' Section as exceptions and marked as Co-I costs.

All costs must be specifically justified, and applicants must explain why such costs are required to achieve the aims of the research project. Applicants must also state clearly in the 'Justification for Resources' attachment of the Je-S form which costs in the proposal relate to international research organisations. Please note that international co-investigator salary costs should only be claimed where clear justification is provided as to why this cost cannot be met by the international research organisation.

Objectives

List the objectives of your proposal in order of priority. These should link directly to the aims, objectives and vision that are detailed in your case for support. *(4000 character limit)*

Summary

Provide a plain English summary of the activity you propose to carry out in a language that could be publicised to a general, non-academic audience. Please note that this section will be made available on the Gateway to Research database, therefore applicants should ensure confidential information is not included in this section *(4000 character limit)*

Academic beneficiaries

Please summarise how your proposed activity will contribute to knowledge, both within the UK and globally. This should include how the activity will benefit researchers in the field and identify whether there are any academic beneficiaries in other disciplines and, if so, how they will benefit and what will be done to ensure that they benefit.

Please list any academic beneficiaries from the activity and give details of how they will benefit and how the results of the proposed work will be disseminated. Also describe the relevance of the activity to beneficiaries. *(4000 character limit)*

Please note that this section may be published to demonstrate the impact of research council-funded activity. Please ensure confidential information is not included in this section.

For further detailed guidance please access the helptext page linked to this Je-S section.

Staff duties

Summarise the duties of the staff members that will be involved in your project, including the applicants. Ensure that it is clear why it is necessary for this person to perform this role at the resource level you have requested. *(2000 character limit)*

Ethical information

This section must be comprehensively addressed. *(4000 character limit)*

Applicants must ensure the proposed activity will be carried out to a high ethical standard and must clearly state how any potential ethical and health and safety issues have been considered and will be addressed ensuring that all necessary ethical approval is in place before the project commences and all risks are minimised.

The [ESRC's Framework for Research Ethics](#) contains a full explanation of our approach, with guidance for applicants.

Other support

Enter details of any support sought or received from any other source for this or other activity, including research, in the same field in the past three years. Complete all fields for support either received or pending a decision. Contributions from project partners should not be entered here - they should be detailed in the project partners section.

Related/previous proposals

Please state whether your application under this call is related to any proposals previously submitted to us. Please note the [policy on 'invite only' resubmissions](#). You must detail the appropriate related proposal and its relationship here. Select 'Related Proposals', then 'Add New Related Proposal Item' before entering the details of any related proposals.

Please enter the reference numbers of any support sought or received from us in the past five years via the 'Previous proposals' section. Please note that this only relates to previous ESRC research grants.

Staff

If your project requires staff other than the team of investigators, their details should be entered here. 'Directly Allocated staff' are those who will be working directly on the project, but whose involvement on the grant can only be based on an estimate of the time the work will take (eg investigators). 'Directly Incurred staff' are those whose time on the project is actual, auditable and verifiable (eg researchers and technicians). It is also possible to capture Researcher salary costs under the exceptions (100% contribution) cost type, if they are international based.

Please note that associated (project) studentships are not permitted on this call.

Resources

All resources requested must be fully justified in the 'Justification' attachment.

The interdisciplinary environmental social science leadership team call will provide funding for a fixed term of 60 months (5 years) with an overall limit of £6,250,000 (100% fEC) per grant. If successful, ESRC will meet 80% of the full economic costs on proposals submitted and the host institution is expected to support the remaining 20%.

Applicants should consider the environmental impact of their proposed activities including travel and adopt low-carbon approaches to collaboration such as videoconferencing where it is appropriate and consistent with Research Organisation policy.

T&S - add each item of Travel and Subsistence required for your project. You should indicate the calculations upon which this figure is based in the 'Destination and Purpose' box.

Conferences - Predicted costs for conference or event attendance will be funded where the conference or event is named, justified and costed in the proposal. The justification should show how the conference will either directly benefit the objectives of the grant or facilitate future impacts of research. Justification must also be provided for the number of project members attending a conference. ESRC encourages early career researcher conference or event attendance where it can be demonstrated to be a key development opportunity.

Equipment - enter any items of equipment costing £10,000 or more (including VAT) in this section. The **actual level of funding sought from the ESRC** should also be entered in this section.

For items of equipment costing between £10,000 and UKRI equipment purchase threshold value (£115,000 excluding VAT), the research organisation will need to provide extra justification for these items in the 'Justification of Resources' attachment, providing evidence of an evaluation of the use of existing relevant capital assets. They will be expected to make a contribution towards the cost of the equipment in the order of 50% of the cost.

All requests for items of equipment costing above the UKRI equipment purchase threshold (£115,000) should be accompanied by a two-page business case (to be included as an attachment within the equipment screen and not the attachments section) outlining the strategic need for the equipment. UKRI will decide the strategic location for these items and will potentially fund them at 100%. The ESRC will have flexibility in relation to the funding of equipment to negotiate with potential grant recipients to achieve best value from the limited funds at its disposal.

For all items of equipment requested above the UKRI equipment purchase threshold (£115,000) three equipment quotations must be provided. Where you believe that there are less than three potential suppliers for an item you should explain this in the 'Justification of Resources' attachment and upload two blank documents as equipment quotes. For items of equipment which cost less than the UKRI equipment purchase threshold it is optional to provide quotations and up to three can be uploaded.

Social surveys - Social survey costs which are being sub-contracted should be included under this section and are eligible for fEC exception funding at 100% (for the amount sought from the council). (See 'other directly incurred costs' section if surveys are to be done using in-house resources.)

Other directly incurred costs - including justified; project specific consumables, consultancy fees, equipment costing less than £10,000, recruitment and advertising costs.

Costs for social survey work proposed to be done using in-house resources should also be included under this section and will be funded at the standard 80% fEC funding rate. Proposals including such costs will need to fully justify why the work should not be subject to external competition, and provide benchmarking or other data to support a case that best value for money is being achieved through using research organisation staff rather than external contractors.

All costs relating to UK business and/or civil society co-investigators and/or international co-investigators must be specifically justified, and applicants must explain why such costs are required to achieve the aims of the investment. Applicants must also state clearly in the

'Justification for Resources' attachment of the Je-S form which costs in the application relate to UK business, civil society or international research organisations. Please note UK business, civil society and international co-investigator salary costs should only be claimed where clear justification is provided as to why this cost cannot be met by the UK business, civil society or international research organisation.

Other directly allocated costs - including support staff salaries, a share of the costs of departmental support staff and the costs of access to major research facilities.

Estates and indirect costs

Estate and indirect costs are specific to each research organisation, and do not require justification in your case for support.

Research organisations that have implemented the TRAC costing methodology and have passed the Quality Assurance process should apply their own estate and indirect costs. Non-research organisations that are not required to implement TRAC must have a robust costing methodology in place that has been validated in order to apply their own estates and indirect cost rates. The standard default rates should be used where research organisations have not yet developed their own rates.

Your Research Office will be able to assist with this section.

Project partners

If you have secured a commitment from another organisation or funding body to provide additional resources for this project, the details of that support should be entered here. **It is important that all organisations that are contributing either directly or indirectly to the intended research, are identified.** If not, their contributions to the project cannot be reflected in our assessment process or in the summary of the project published externally, if it is funded. A Project Partner letter of support from each partner organisation confirming the level of support specific to this proposal must be uploaded via the Project Partner details screen (not in the attachments section). Project partner letters of support can be accepted by email, and must be signed and dated within six months of the proposal submission date.

Timetable

Provide a clear timetable for the project. Your planned timetable can be expanded upon if necessary within your case for support.

Data collection

Applicants must adhere to ESRC policy. Please refer to the full statement on data management planning and datasets deposition requirements for data intensive investments in the [ESRC Research Data Policy](#) and in the [Research Funding Guide](#). Explain clearly how you will meet these requirements if relevant to your proposal. Complement but do not duplicate the information provided in the Data Management Plan as explained below.

Classifications (International in Nature)

Please identify whether the activity will involve significant collaborative contributions from colleagues outside of the UK. This could include the area of focus, collaborations, data sets or any other notable international aspect of the research. You should answer yes if:

- Any significant part of the activity is international, for example, if one or more work packages have an international component.

And/or

- Either a Co-Investigator, Project Partner or any other organisation involved in the project is based overseas.

If Yes, please complete free text box (maximum 1000 character limit)

Please detail the nature of the international aspect of the research and identify all countries involved.

- Where the area of focus is international, explain the nature of this focus and all the countries involved.
- Where an international organisation is involved, please identify this organisation and the country(/ies) in which it is based.

User involvement

If 'Users' have been/will be engaged with your project, please specify the nature of their engagement. 'Users' are individuals, groups or organisations who have an interest in or may benefit from the research. Users may be from the public sector, private sector, civil society or the wider public. Engaging users in your proposal activity from its conception and development through to the dissemination stage is likely to increase the impacts achieved. Applicants should expand upon how the proposed work will be managed to engage users and beneficiaries in the Case for Support attachment.

Attachments

It is important that applicants **only submit the supporting attachments specified in this document**. We reserve the right to reject applications that do not include the required attachments or include attachments not specified in this guidance. Attachments should be uploaded as PDF (rather than word) to ensure automated conversion does not cause problems with maximum length. Attachments should be in font size 11 with 2cm margins

The following are mandatory Je-S attachments for this call:

- Case for support (maximum of 12 sides of A4)
- Justification of resources (maximum of 2 sides of A4)
- Data Management Plan (maximum of 3 sides of A4 for grants planning to generate data)
- CV (maximum of 2 sides of A4 per person)
- Management Plan (maximum of 2 sides of A4)
- Institutional Letter of Support (maximum of 1 side of A4)
- Environmental Sustainability Statement (maximum of 1 side of A4)

List of Publications, Letter of Support, Proposal Cover Letter, Final/Interim Report and Other are optional attachments and should be included where necessary.

Important note: If you are unclear about whether you can include a specific attachment please contact environmentandenergy@esrc.ukri.org for advice, as unrequested attachments can hinder the processing of your application. ESRC reserves the right to return or reject proposals that include attachments not permitted on this call.

Case for support (maximum of 12 sides of A4)

This is the body of your proposal. It must not exceed 12 sides and must include the following sections:

- An introduction setting out the aims and objectives of the proposed activity. This should outline the main work on which the activities in the proposal will draw, with references. A clear link must be made between the aims and objectives detailed in the call documentation.
- The detailed vision for leadership, including aims and long-term goals to be addressed by the proposal.
- Clearly defined operating/delivery framework/model to meet the objectives outlined in the call documentation.
- Leadership approach, including a detailed description and justification of proposal team.
- Detailed description and justification of all activities that will be undertaken to deliver the call objectives (refer to call text for objectives). Particular care should be taken to explain any innovation in the approaches/methods, or how different approaches/methods may be combined.
- Definition and justification of objectives for any flexible funding programme. This should also include comprehensive detail of how any programme will be operationalised and governed.
- Consider potential beneficiaries and users involved in the development of the proposal and the delivery of the grant where appropriate. This should cover who will benefit from the proposed work/activity, the relevance of the research to these beneficiaries, and how you will maximise economic and societal impact.
- Indicate the expected outputs - both academic and those orientated to (potential) users. Where possible, describe the expected impact.
- Include details of any potential for linkages to other activities (for example, those supported by other funding bodies in the UK or beyond) or for international collaboration.
- Careful consideration of the legacy of the proposed activities, and how this will be assessed.
- Detailed consideration of the risks associated with the proposed work/activity in the proposal, together with measures which will be taken to mitigate them.

The case for support should be a self-contained description of the proposed work with relevant background and references and should not depend on additional information such as the inclusion of external links. Assessors are advised to base their assessment on the information contained within the application and are under no obligation to access such links (so they should not be used to provide critical information).

Justification of resources (maximum of 2 sides of A4)

A two-side A4 statement justifying that the resources requested are appropriate to undertake the activity described in the case for support. The justification of resources should explain why the resources requested are appropriate for the activity proposed, taking into account the nature and complexity of the proposal. It should not be simply a list of the resources required, as this is already given in the Je-S form. Where you do not provide explanation for an item that requires justification, it will be cut from any grant made.

Proposals which include co-investigators from third sector organisations that are deemed **not** to engage in economic activity must provide evidence of this status within the Justification of Resources statement.

Estates, Indirect and Infrastructure Technician costs do not need to be justified within the Justification of Resources.

Please refer to [Je-S for further guidance](#).

Data Management Plan (maximum of 3 sides of A4 for grants planning to create data)

It is a requirement of the [ESRC Research Data Policy](#) that all applicants planning to generate data as part of their grant must include a Data Management Plan. The Data Management Plan should be used as an opportunity to describe how the data, ie primary input into research and first-order results of that research, are going to be managed - starting from planning for research and through the life-cycle of the grant until data is accepted for archiving by the UK Data Service.

The ESRC recognises the importance of research data quality and provenance. Research data generated by ESRC-funded research must be well-managed by the grant holder during the grant period to enable their data to be exploited to the maximum potential for further research. For further guidance see the Je-S Helptext.

CV (maximum of 2 sides of A4 per person)

A CV for each applicant, named research staff member and consultant must be included. This should include contact details, qualifications (including class and subject), academic and/or professional posts held since graduation, and, in the case of academics, a list of the most relevant and recent publications and a record of research funded by the ESRC and other bodies. This should not exceed two A4 sides.

Other mandatory attachments

Management Plan (maximum of 2 A4 sides)

Applicants are asked to detail and justify the structural and governance arrangements being proposed. Applicants must explain how they will provide leadership to a potentially diverse group of collaborators (especially where these cut across disciplinary and institutional boundaries), how the proposed programme of activities and its outputs will be managed, how leadership and management roles will be divided amongst the investigators, and how an inclusive environment will be provided for researchers to thrive at all career stages. Applicants are encouraged to consider how innovative leadership and management approaches can be embedded in the proposal's ways of working. Applicants should also explain how the various work-streams in the proposal will be co-ordinated and managed, and how any competing priorities will be addressed during the lifetime of the award. The role and function of any advisory or management groups should also be provided. This should be uploaded under 'Other' Attachment.

Institutional Letter of Support (maximum of 1 A4 side)

Proposals must include an Institutional Letter of Support from the Pro-Vice Chancellor for research (or equivalent role) from the lead organisation, confirming the RO's (and any Partner ROs') commitment to the application.

Environmental Sustainability Statement (maximum of 1 A4 side)

Proposal must include a statement detailing how the delivery of their activities will enhance their environmental performance and make a positive impact. Applicants should consider the environmental impact of their activities, including resources and travel, and adopt low-carbon approaches to collaboration such as videoconferencing where it is appropriate and consistent with Research Organisation policy. This should be uploaded under 'Other' Attachment.

Read the [UKRI Environmental Sustainability Strategy](#) to understand how UKRI are taking a lead in our own operations.

Further attachments, where required

List of publications

The bibliography for references cited in the proposal only should be attached - publications not cited in the proposal should not be added here. A list of the most relevant and recent publications by the applicant should be included in the applicant CV.

Final/interim reports (maximum of 3 sides of A4)

Principal and Co-Investigators on current awards must submit a progress report on their current awards with any new proposal which should match their impact and output records on Research Fish

Letter of support (maximum of 1 side of A4 per document)

Exceptionally letters of support can be submitted as part of a proposal; provided they are essential to the successful conduct of the activity (eg confirming access to datasets, confirming access to or use of the facilities provided by named organisations, or where organisations are essential to ensuring impact from specific activities). Letters of support can be accepted by email, and must be signed and dated within six months of the proposal submission date. General letters of support that are not essential for the successful conduct of the proposed activities or do not confirm any specific contribution to the project should not be included. Please note that the process for adding letters from project partners is outlined above, as these should be uploaded via the Project Partner details screen, and not in the attachments section.

Proposal classifications

The Proposal classification area is a harmonised (and expanded) structure agreed with other UKRI councils. Therefore, if your area of expertise crosses the remits of more than one UKRI council you will now only need to provide the information once.

It is an essential requirement that your **primary research area** is in the social sciences. We strongly encourage inter/multidisciplinary working both within and beyond the social sciences, as long as at least 50% of the proposed programme of work is within ESRC remit. Please refer to the list of [research areas that fall within ESRC remit](#) for further information. Please note this information is used to determine eligibility for ESRC funding.

Submit your proposal

After all mandatory sections have been completed, the submit button will appear across the top menu bar. Please ensure that you have **completed all attachments** that are not

standard mandatory attachments for Je-S but are a **mandatory requirement to be completed for this specific call**. The submit button will route your finalised proposal to the authorising facility within your organisation (as explained in the Registration section above).

Please note that the proposal must be formally submitted by your organisation before **16:00 on 7 September 2021**.

Commissioning timetable

- | | |
|-------------------------------------|----------------------------------|
| • Call for proposals issued | 15 June 2021 |
| • Deadline for submitting proposals | 16:00 on 7 September 2021 |
| • PI response | October 2021 |
| • Panel Interview | early December 2021 |
| • Decisions to applicants | approximately late December 2021 |
| • Grant starts | 1 February 2022 |

Tracking your proposal

There are additional document maintenance actions that can be undertaken via the Document actions button. For example, the 'Show submission path' will indicate to you where in the organisation submission chain the proposal currently is located (and who holds current responsibility) - eg owner, approval pool, submitter pool or submitted to Council.

Further enquiries

Enquiries relating to ESRC research funding rules and proposal procedures should be addressed to:

- Pete Henly and Jonathan Reynolds
Email: environmentandenergy@esrc.ukri.org

Enquiries relating to technical aspects of the Je-S form should be addressed to:

- Je-S helpdesk
Email: jeshelp@je-s.ukri.org

Telephone: +44 (0) 1793 44 4164

Opening hours are Monday to Thursday 8.30am to 5pm and Fridays 8.30am to 4.30pm (excluding bank holidays and other holidays).